

11/15/01

12-03-01

A

JC904 U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

for new nonprovisional applications under 37 CFR § 1.53(b)

| | |
|------------------------|--|
| Attorney Docket No. | 200005.US |
| Inventors | AGAPIOU, Agapios Kyriacos, KUO, Chi-I, GLOWCZWSKI, David M. and ACKERMAN, Steven K. |
| Title | A CATALYST COMPOSITION AND METHODS FOR ITS PREPARATION AND USE IN A POLYMERIZATION PROCESS |
| Express Mail Label No. | EV052786313US |

JC955 U.S. PTO
09/998855

11/15/01

APPLICATION ELEMENTS

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification **Total Pages - 36 -**
(preferred arrangement set forth below)
- Descriptive Title of the Invention
- Cross References to Related Applications (if any)
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 113) **Total Sheets - 0 -**
4. ☒ Oath or Declaration **Total Pages - 3 -**
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)
(for continuation/division with Box 15 completed)
[Note Box 5 below]
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
- ACCOMPANYING APPLICATION PARTS**
7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Other: _____


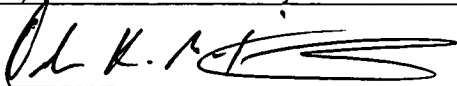
15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
of prior applications No: _____, from which priority is claimed under 35 U.S.C. § 120

Prior application information: Examiner _____ Group Art Unit _____

16. If a NONPROVISIONAL APPLICATION based upon a foreign or U.S. provisional application, priority is claimed from applications No. 60/256,744, country US, filed on December 19, 2000.

17. CORRESPONDENCE ADDRESS

| | | | | | |
|---|---|---|----------------|--|---------------------------|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | |  | | or <input type="checkbox"/> Correspondence address below | |
| (Insert Customer No. or Attach bar code label here) | | | | | |
| Name | Univation Technologies, LLC | | | | |
| Address | 5555 San Felipe, Suite 1950 | | | | |
| City | Houston | State | Texas | Zip Code | 77056 |
| Country | U.S.A. | Telephone | (713) 892-3711 | Fax | (713) 892-3687 |
| Name (print/type) | Osborne K. McKinney | | | Registration No. (Attorney/Agent) | 40,084 |
| Signature |  | | | Date | October 31, 2001 11-15-01 |

FEE TRANSMITTAL

Note: Effective November 10, 1998.
Patent fees are subject to annual revision.

Complete if Known

Application Number New Application
Filing Date November 15, 2001
Inventors Agapiou et al.
Group Art Unit Unassigned
Examiner Name Unassigned
Attorney Docket Number 2000U055.US

Total Amount of Payment **(\$908.00)**

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: 50-0589

Deposit Account Name: Univation Technologies, LLC

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

| Large Entity Fee Code | Fee Description | Fee \$ | Fee Paid |
|-----------------------|----------------------------|--------|---------------|
| 101 | 740 Utility filing fee | | 740.00 |
| 106 | 330 Design filing fee | | |
| 107 | 510 Plant filing fee | | |
| 108 | 740 Reissue filing fee | | |
| 114 | 160 Provisional filing fee | | |
| SUBTOTAL (1) | | | 740.00 |

2. CLAIMS

| | Extra | Fee from below | Fee Paid |
|---|-------|----------------|----------|
| Total Claims <u>18</u> - 20 = <u>0</u> x <u>18</u> = <u>.00</u> | | | |
| Independent Claims <u>5</u> - 3 = <u>2</u> x <u>84</u> = <u>168</u> | | | |
| Multiple Dependent Claims <u>0</u> x <u>280.00</u> = <u>.00</u> | | | |

Large Entity

| Fee Code | Fee\$ | Fee Description | |
|---------------------|-------|---|---------------|
| 103 | 18 | Claims in excess of 20 | |
| 102 | 84 | Independent claims in excess of 3 | |
| 104 | 280 | Multiple dependent claim | |
| 109 | 84 | Reissue independent claims over original patent | |
| 110 | 18 | Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | 168.00 |

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Large Entity Fee \$ | Fee Description | FEES PAID |
|---|---------------------|--|------------|
| 105 | 130 | Surcharge - late filing fee or oath | |
| 127 | 50 | Surcharge - late provisional filing or cover sheet. | |
| 139 | 130 | Non-English specification | |
| 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | Extension for reply within first month | |
| 116 | 400 | Extension for reply within second month | |
| 117 | 920 | Extension for reply within third month | |
| 118 | 1,440 | Extension for reply within fourth month | |
| 128 | 1,960 | Extension for reply within fifth month | |
| 119 | 310 | Notice of Appeal | |
| 120 | 320 | Filing a brief in support of an appeal | |
| 121 | 280 | Request for oral hearing | |
| 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | Petition to revive - unavoidable | |
| 141 | 1,280 | Petition to revive - unintentional | |
| 142 | 1,280 | Utility issue fee (or reissue) | |
| 143 | 460 | Design issue fee | |
| 144 | 620 | Plant issue fee | |
| 122 | 130 | Petition to the Commissioner | |
| 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | Submission of information Disclosure Stmt. | |
| 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 148 | 110 | Statutory disclaimer | |
| Other fee (specify) * Reduced by Basic Filing Fee Paid | | | <u>.00</u> |
| SUBTOTAL (3) | | | |

Typed or Printed Name Osborne K. McKinney

Reg. No. 40,084

Signature Osborne K. McKinney

Date November 15, 2001

Deposit Account User ID